

CORNELL UNIVERSITY POLICY LIBRARY

Environment, Health, and Safety

POLICY 8.6

Volume: 8, Risk Management and Public Safety Chapter: 6, Environment, Health, and Safety Responsible Executive: Chief Compliance Officer Responsible Office: Environment, Health and Safety Originally Issued: May 1996 Last Updated: March 18, 2022

POLICY STATEMENT

Cornell University expects all employees and members of the campus community to comply with environmental, health, and safety laws and regulations. The Department of Environment, Health and Safety (EHS) maintains the programs and procedures that allow the university to uphold the highest standard of excellence in environmental, health, and safety stewardship.

REASON FOR POLICY

The university wishes to maintain a safe and healthy environment for students, faculty, staff, and visitors and continuously improve the university's culture of safety and environmental stewardship while supporting the university's teaching, research, and clinical missions. In addition, the university promotes and provides standards that are in compliance with federal, state, and local environmental and occupational health and safety laws and regulations, that demonstrate sound fiscal responsibility, and that reduce institutional liability.

ENTITIES AFFECTED BY THIS POLICY

- All units of the university

WHO SHOULD READ THIS POLICY

- All members of the Cornell University community

MOST CURRENT VERSION OF THIS POLICY

- policy.cornell.edu/policy-library/environment-health-and-safety

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RELATED RESOURCES

The university's Environment, Health and Safety (EHS) management system allows the Cornell community to access forms/tools online at:

Ithaca Campus Units: ehs.cornell.edu

Weill Cornell Medical College Units: ehs.weill.cornell.edu

University Policies and Documents Applicable to Only Ithaca Campus Units

University Policy 8.7, Smoking and Using Electronic Cigarettes

University Policy 2.8, Pets on Campus

University Policy 8.3, Emergency Planning

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CONTACTS

Direct any general questions about this policy to your college or unit administrative office. If you have questions about specific issues, contact the Department of Environment, Health and Safety (EHS) or other offices, as detailed below.

◆ Note: You may file a report through Cornell University's Hotline at compliance.cornell.edu/cornell-ethics-and-compliance-hotline

Contacts

Subject	Cornell Ithaca Contacts	Weill Cornell Contacts
Policy Clarification and Interpretation	Environment, Health and Safety (EHS) (607) 255-8200	Weill Cornell Environmental Health and Safety (EHS)
Questions regarding:	askehs@cornell.edu	(646) 962-7233
 Radiation Safety 	ehs.cornell.edu	ehs@med.cornell.edu
 Biosafety Select Agents Chemical Safety Hazardous Waste Laboratory Safety Controlled Substances Fire Safety Event Safety Occupational Health & Safety EHS Training Emergency Management Emergency Response Emergency Planning Continuity of Operations 		ehs.weill.cornell.edu
Environmental Compliance		
Animal Care and Use in Research	Institutional Animal Care and Use Committee (IACUC)	Institutional Animal Care and Use Committee (IACUC)
	(607) 255-3749	research.weill.cornell.edu/integrity-
	iacuc@cornell.edu	compliance/animal-research
	researchservices.cornell.edu/compliance/livevertebrate-animal-research	
Clinical Care of Animals and Animal Facility	Center of Animal Resources and Education (CARE)	Research Animal Resource Center (RARC) (212) 746-1022
Management	(607) 253-4378	research.weill.cornell.edu/integrity-
	care@cornell.edu	compliance/animal-research
	researchservices.cornell.edu/offices/care	•
Emergency	Cornell University Police Department (CUPD)	NYP Security
	911 from a campus phone or	(212) 746-0911
	(607) 255-1111 from a cell or off-campus phone	
	cu_police@cornell.edu	
	www.cupolice.cornell.edu	
Pest Management	College of Agriculture and Life Sciences, New York State Integrated Pest Management Program	Engineering and Maintenance (212) 746-2288
	(315) 787-2353	facilities.weill.cornell.edu
	nysipm@cornell.edu	
	nysipm.cornell.edu	

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CONTACTS, continued

Subject	Cornell Ithaca Contacts	Weill Cornell Contacts
Reporting Injury, Illness, or Exposure to Hazardous Substances	Cornell University Injury/Illness/Exposure Report	Weill Cornell Student Health Services (SHS)
	(607) 255-8200	(students only)
	ehs.cornell.edu/incident-reporting	(646) 962-6942
		medicaleducation.weill.cornell.edu/student-resources/student-health-services
		Workforce Health and Safety (WHS)
		(faculty and staff)
		(646) 962-7233
Reporting Incidents and	Environment, Health and Safety (EHS)	Weill Cornell EHS
Issues not Involving	(607) 255-8200	(646) 962-7233
Injury, Illness, or Exposure	askehs@cornell.edu	ehs@med.cornell.edu
	ehs.cornell.edu	ehs.weill.cornell.edu
Requirements for	Research Services	weill.cornell.edu/research
Sponsored Projects	researchservices.cornell.edu	
Research Regulatory	Office of Research Integrity and Assurance (ORIA)	Office of Research Integrity
Compliance	(607) 255-6439	(646) 962-2100
	researchservices.cornell.edu/offices/ORIA	researchintegrity@med.cornell.edu
		research.weill.cornell.edu/integrity-compliance
Universal Waste	R5 Operations and Materials Management	Weill Cornell EHS
	(607) 254-1666	(646) 962-7233
	fcs.cornell.edu/departments/facilities-	ehs@med.cornell.edu
	management/r5-operations	ehs.weill.cornell.edu
University Medical	Cornell Health	Weill Cornell Student Health Services (SHS)
Services	(607) 255-5155	(students only)
	health.cornell.edu	(646) 962-6942
		medicaleducation.weill.cornell.edu/student- resources/student-health-services
		NYP Workforce Health and Safety
		(faculty and employees)
		(646) 962-7233
Use of Biomaterials in Research	Institutional Biosafety Committee (IBC) cu_ibc@cornell.edu	Institutional Biosafety Committee (IBC) (646) 962-2100
	researchservices.cornell.edu/compliance/rsna-or- biohazardous-research	research.weill.cornell.edu/integrity- compliance/human-subjects-research/institutional- biosafety-committee-ibc
	Office of Research Integrity and Assurance (ORIA) (607)-255-6439	Office of Research Integrity and Assurance (646) 962-2100
	researchservices.cornell.edu/offices/ORIA	researchintegrity@med.cornell.edu
		research.weill.cornell.edu/integrity-compliance

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CONTACTS, continued

Subject	Cornell Ithaca Contacts	Weill Cornell Contacts
Use of Human Subjects in Research	Institutional Review Board for Human Participants	Institutional Review Board
	researchservices.cornell.edu/compliance/human-research	(646) 962-8200
		irb@med.cornell.edu
		research.weill.cornell.edu/integrity- compliance/human-subjects-research/institutional- review-board-irb
	Office of Research Integrity and Assurance (ORIA)	Office of Research Integrity and Assurance
	(607)-255-6439	(646) 962-2100
	researchservices.cornell.edu/offices/ORIA	researchintegrity@med.cornell.edu
		research.weill.cornell.edu/integrity-compliance
Use of Pesticides	College of Agriculture and Life Sciences,	Weill Cornell EHS
	Occupational and Environmental Health Program	(646) 962-7233
	pesticides@cornell.edu	ehs@med.cornell.edu
	oeh.cals.cornell.edu	ehs.weill.cornell.edu
Wildlife Control	Facilities Customer Service Center	
	(607) 255-5322	
	maximo.fs.cornell.edu/kerb/apps/index.cfm/service Requests	
Workers' Compensation	Medical Leave Administration	Weill Cornell Human Resources
	(607)-255-1177	(646) 962-9247
	wcds@cornell.edu	weill.cornell.edu/units/human-resources
	hr.cornell.edu/benefits-pay/leaves-disability	

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RESPONSIBILITIES

All members of the Cornell University community are required to collaboratively abide by this policy.

All roles and responsibilities as they relate to written university environmental, health, and safety programs are specifically outlined within each related program; please refer to Cornell's Environment, Health and Safety (EHS) website at ehs.cornell.edu for further clarification.

All Cornell Constituents (Students, Faculty, Researchers, Clinicians, Staff)

Comply with this policy and the university environmental, health, and safety programs and practices.

Comply with all federal, state, and local regulations and codes.

Manage hazards to protect the health and safety of yourself, the people who report to you, and the community.

Notify a supervisor, faculty member, or an Environment, Health and Safety (EHS) staff member of environmental, health, and safety compliance issues

Attend, and ensure that those who report to you to attend, required environmental, health, and safety training.

Inform a supervisor and/or EHS of any EHS compliance issues of concern in the workplace, classroom, or laboratory. If desired, anonymously report health and safety concerns using the Cornell Hotline at

compliance.cornell.edu/cornell-ethics-and-compliance-hotline.

Notify EHS of any regulatory agency visit or inspection related to environmental, health, safety, and/or controlled substances, so that EHS has the opportunity to accompany the regulator.

Support voluntary EHS and environmental stewardship initiatives as directed by a supervisor or EHS.

Ensure that all work-related injuries, illnesses, exposures, and environmental spills/releases are reported to EHS.

Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environmental health and safety of university students, faculty, staff members, and visitors.

Building Coordinators and **Facility Coordinators**

Continuously monitor facility(s) under his or her purview for fire code and safety-related violations.

Notify EHS immediately of regulatory inspections. In conjunction with EHS, escort inspection agency representatives.

Initiate and complete correction or abatement of cited facility-based violations within required timeframes.

Notify the university fire marshal and/or EHS if any violation cannot be corrected within the required time period.

Ensure that necessary repairs are made to facility-based emergency equipment, including but not limited to, chemical hoods, fire alarms, safety showers, and eye washes.

To ensure compliance needs and safety controls are included, as appropriate, support the coordination between EHS and project managers in the construction and renovation stage of facilities under his or her purview.

Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environmental health and safety of the university's students, faculty, staff members, and visitors.

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RESPONSIBILITIES, continued

Department of Environment, Health and Safety

Ensure, through the work of program managers, that written programs are posted and regularly updated to meet compliance requirements for environmental, health, and safety programs.

Provide service and support necessary to facilitate compliance with environmental, safety, and health regulations, and to support university practices.

Provide resources for training, auditing, and regulatory updates.

Coordinate collaborative EHS stewardship initiatives among constituents of the university community to foster the establishment of good working relationships and trust.

Provide environmental, health, and safety oversight of university operations in the spirit of service and support.

Maintain and manage relationships with regulatory authorities and provide guidance on compliance to university community members.

Exercise confidentiality regarding sensitive subjects.

Provide emergency service, response, and support to individuals or situations for the Ithaca campus. This does not include providing law enforcement services.

Ensure that all regulatory requirement and commitments are met for formal licenses and permits (e.g., New York Department of Health, New York Department of Environmental Conservation, etc.).

Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environment, health, and safety of the university's students, faculty, staff members, visitors, and neighboring communities.

Conduct hazard assessments and exposure monitoring to identify exposure controls necessary to maintain a safe work environment.

Conduct reviews and inspections of university operations and facilities to identify high-risk operations and areas of non-compliance.

Identify corrective actions and risk mitigation strategies for the university to implement.

Department Safety Representation

Support the implementation of health and safety programs for colleges and units to meet their health and safety goals and obligations.

Serve as a liaison to EHS from the department for information exchange. Promote health and safety training and workshops.

Facilitate routine EHS health and safety inspections and assessments of work areas.

Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environment, health, and safety of the university's students, faculty, staff members, and visitors.

Human Resources

Refer unresolved environmental, health, and safety matters to EHS for assistance, review, and resolution.

Administer a process that educates individuals of their rights and responsibilities as they relate to environment, health, and safety.

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RESPONSIBILITIES, continued

Management (Including Academic, Research, Clinical,	Implement this policy and all other university safety practices and programs under your supervision or control; be prepared to describe how these are implemented based on best practices in your field.
and Administrative Positions)	Designate and empower a department safety representative(s) to assist with the compliance of this policy and related program requirements.
	Create a safety committee(s) or group as necessary to address and coordinate environmental, health, and safety efforts, to work with the department safety representative(s) and EHS staff to address environmental, health, and safety concerns within departments/units.
	Require faculty, staff members, and students under your direction to complete required safety training and to report accidents, injuries, illness, environmental releases, and spills, as appropriate.
	Maximize environmental health and safety through assessments by university environmental health and safety professionals in your designated work areas.
	Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environment, health, and safety of the university's students, faculty, staff members, and visitors.
Office of Research Integrity and Assurance (ORIA)	Monitor research conducted at the university to promote a culture that is in accordance with university policies and applicable external regulations and guidelines regarding animals, conflict of interest, use of human participants, biosafety, and export controls.
President	Delegate responsibility for all aspects of this policy's implementation.
Risk Management and Insurance	Collaborate with EHS to reduce risks to the university community.
Safety Officer	At the directive of the university president or EHS, act as a steward and/or responsible official of the university regarding environmental, health, and safety issues.
	◆ Note: The safety officer position has specified authority regarding the university, often described in regulation, governmental guidelines, and/or university policy.
Senior Director of	Direct operations and services of EHS.
Environment, Health and Safety	In cases of imminent danger to life, health, or environment, order the cessation of hazardous activity until the danger from such a condition is abated or adequate measures have been taken.
	Provide objectivity, avoid conflicts of interest, and establish accountability through an organizational infrastructure that clearly delineates EHS responsibilities.
	Provide voting and non-voting membership in university-led safety committees.
	Provide leadership and support for the implementation of this policy.
	Set priorities for institutional resources in support of the university missions and the environmental health and safety programs associated with this policy.
	Promote the advancement of the university's culture of safety and support initiatives to continuously improve the environment, health, and safety of the university's students, faculty, staff members, and visitors.
University Sponsored Health and Safety	Monitor and promote health and safety compliance according to the committee's scope.
Committee	Review and approve any Memoranda of Understanding and Agreement (MUAs), BSL-3 applications, and research-related standard operating procedures.
	Authorize suspension and/or termination of MUAs and/or personnel access privileges as deemed appropriate, and communicate such to the principal investigator for the sponsored project.

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PRINCIPLES

Overview

Cornell University is committed to excellence in environmental, health, and safety stewardship. This long-held commitment is demonstrated through its contributions to student education, research and patient care, as well as through institutional conduct.

Cornell University is committed to:

- Encouraging every Cornell community member to become a proponent for safety and safety education, and demonstrate this care for safety in their interactions with other community members
- Protecting human health and the environment by minimizing the adverse impacts caused by its facilities, activities, and operations
- Achieving and maintaining compliance with federal, state, and local environmental, health, and safety laws and good practices in its departments, laboratories, clinics, research centers, facilities, and operations
- Maintaining a high standard of institutional accountability for environmental, health, and safety while maintaining the independence of research and teaching
- Providing educational opportunities to students and community members
- Assessing, measuring, and continuously improving its environmental, health, and safety performance

The university's comprehensive environmental, health, and safety program is intended to protect human health and the environment, and will produce the most effective and efficient approach and system for Cornell University.

Opportunities will be identified and taken to minimize the production of wastes and the use of toxic materials, personal exposures, and pollution, and to conserve and reuse resources, as feasible. These actions will increase health and safety, satisfy regulatory waste minimization requirements, reduce regulatory burdens, and reap greater sustainability benefits.

Independent research at the university is essential to its missions and, consequently, a proper balance of scientific interests and prudent practice must be achieved. To avoid the creation of unnecessary confusion and bureaucracy, existing organizations and positions within the university will be used to the maximum extent possible to carry out Environment, Health and Safety stewardship initiatives, maintain good practices, and achieve compliance.

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PROCEDURES

	Procedures	
General Comments	The procedures listed below are general procedures; please go to ehs.cornell.edu or ehs.weill.cornell.edu for complete written programs and procedures.	
Program Element	Procedures for Environment, Health and Safety	Procedures for Units and Colleges
Assessment of compliance with applicable regulations	Ensure adequate assessment of compliance with applicable regulations through onsite inspections, reviews, assessments, internal and external audits, and other means necessary to determine compliance status. Provide the tools and procedures for unit self-assessments, as appropriate.	Coordinate with Environment, Health and Safety (EHS) to assess operations and procedures for compliance and to provide information, resources, and personnel needed to complete inspections and any required corrective and preventive actions.
Corrective and preventive actions for non-compliances	Provide database and support of data collection for exposures, injuries, and releases. Assist colleges and divisions in determining appropriate corrective and preventive actions. Monitor correction of noncompliance via onsite reviews, interviews, and/or procedure verification.	Correct any identified conditions of non- compliance and report corrective actions to EHS, as appropriate.
Data collection; preparation and submission of regulatory reports	Collect and maintain university-wide data, prepare university-wide reports, maintain university-wide permits. Collect and monitor data as appropriate (certain data is required to be given to affected persons within regulatory time frames).	Collect and maintain unit- or college-specific data, as required. Report data to EHS in a timely manner as required. Provide assistance to EHS, as appropriate.
	◆Note: Some aspects of EHS programs require data collection and report preparation by technical staff members. All data will be reviewed and approved for regulatory submittals, including permit applications.	
Determination of applicable requirements	Identify, interpret, and effectively communicate to the university community existing or proposed regulations.	Consult with EHS for interpretation of applicable environmental, health, and safety regulations.
Determination of required environmental, health and safety training	Provide the methods and means to determine the required environmental, health, and safety training for all faculty and staff members. Provide online and other training methods to ensure compliance with all required health and safety trainings.	Ensure that members within the organization take required environmental, health, and safety training courses. Utilize the university training system to document or ensure that documentation of training is available internally in the unit or college.
Emergency planning	Provide campus leadership in identifying potential emergency scenarios and their appropriate responses.	Work with EHS to ensure that emergency plans are appropriate and operational.
Hazard identification and assessment	Provide expert assistance in identifying and assessing workplace hazards and regulatory requirements, and in the development and oversight of protection strategies.	Work with EHS staff to address hazards in a transparent system that meets regulatory expectations.
Inspection follow-up activities	Document and report and identified conditions of non-compliance to EHS, University Counsel, Risk Management, the department chair, and senior administrator, as appropriate. Facilitate any corrective and preventative actions.	On behalf of the university, provide to EHS information, resources, and personnel to conduct inspections as they relate to environmental, health, and safety issues, for any required corrective and preventive actions.

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PROCEDURES, continued

Program Element	Procedures for Environment, Health and Safety	Procedures for Units and Colleges
Liaison with regulators	Interact with regulatory entities on behalf of the university on environmental, health, and safety issues. Collaborate with units on communication strategies appropriate to the program and expertise of the individuals involved.	Immediately notify EHS of any regulatory agency inquiry, inspection, or enforcement activity on environmental, health, and safety issues. EHS must have the opportunity to accompany a visiting regulator. Collaborate with EHS on communication strategies appropriate to the program and expertise of the individuals involved.
	◆Note: EHS always has primary responsibility regarding EHS regulators, including cases of regulatory liability, noncompliance, enforcement, university-wide permits, and inspections.	
Operating in compliance	Provide units with assistance and proper technical resources for programs and procedures and the implementation of environmental, health, and safety practices to ensure compliance with laws and regulations.	Promote a culture in which all operations and processes are conducted in compliance with regulations, including development and implementation of written standard procedures.
Regulatory submittals	Review documents, maintain file copies, and share this information with appropriate project managers to help ensure consistency in the descriptions and assessments of impacts.	Obtain EHS authorization for all environmental-, health-, and safety-related regulatory submittal documents prior to submission to any external regulatory agency.
Reporting incidents not involving injury, illness, or exposure	Maintain and support the incident reporting systems. Investigate incidents not involving injury, illness, or exposure. Coordinate investigations with other affected units (e.g., Facilities). Provide recommendations to address initial incident and minimize potential for recurrence. Assess broader risk to the university and provide appropriate recommendations to mitigate risks. Provide training and assistance, as appropriate, to address potential environmental, health, and safety concerns.	Report incidents not involving injury, illness, or exposure as detailed in the "Contacts" section of this policy. Assist EHS in the investigation process. Assist and provide resources to address incidents, as appropriate.
Reporting of injury, illness, and exposures, that are work/research related.	Maintain and support the injury, illness, and exposure reporting systems. Coordinate accident reporting with medical providers, conduct accident investigations with injured personnel, and provide	Ensure that injured students, faculty, or staff seek immediate medical attention from appropriate medical providers and report injuries as detailed in the "Contacts" section of this policy.
	recommendations to affected personnel, units, or colleges. Assess broader risk to the university and provide appropriate recommendations to mitigate risks. Provide training to prevent workplace injury and illness. Respond to units' health and safety concerns.	Assist and provide resources necessary to implement accident investigation recommendations and reduce risk of accident recurrence.